

## ACTION TRACKER

### SCOTTISH BORDERS COUNCIL AUDIT COMMITTEE 2022/23

Notes:-

1. Paragraphs Marked with a \* require full Council approval before action can be taken
2. Items for which no actions are required are not included

TITLE	DECISION REQUIRING ACTION	DIRECTORATE/ SECTION	RESPONSIBLE OFFICER	STATUS
<b>22 November 2021</b>				
Internal Audit Work to October 2021 (Audit of Business Continuity Framework)	<b>AGREED to request the Corporate Management Team to review business continuity arrangements across the Council and that an assurance report be presented to the Audit and Scrutiny Committee at its February 2022 meeting.</b>	Chief Executive / Emergency Planning	David Robertson/Andy McLean	Business Continuity software Project complete. Progress on Internal Audit Actions discussed at December 2022 Audit Committee meeting.
<b>27 June 2022</b>				
Proposed Briefings/Seminars at Development Sessions 2022-23 for Audit and Scrutiny Committee	<b>AGREED that development sessions would be rearranged so they were not scheduled in the 45 minutes immediately preceding formal business of the Audit and Scrutiny Committee and self-assessment questionnaires would be issued prior to the sessions.</b>	Audit & Risk	Jill Stacey	Delivered since previous update: Audit Committee Skills and Knowledge (2 February 2023) Scheduled: annual Audit Committee self-assessment (1 March 2023)
<b>12 September 2022</b>				
Progress Update on LDS Financial Management Recommendation	<b>AGREED to keep the LDS Financial Recommendation action on the Audit Business Action Tracker.</b>	Health & Social Care IJB	Chris Myers / Simon Burt	Further update at 13 March 2023 Audit Committee meeting.
Audit Scotland National Fraud Reports 2022	<b>AGREED to request that the Integrity Group reports back to the Audit Committee on its findings and proposed further actions arising from these tasks</b> ( <i>recommendations arising from the Audit Scotland Fraud and Irregularity 2021/22 and National Fraud Initiative reports</i> ).	Integrity Group	Jill Stacey	Reports by Integrity Group on Counter Fraud Controls Assessment and National Fraud Initiative at 13 February 2023 Audit Committee meeting. <i>Complete</i>
<b>23 November 2022</b>				
External Audit Annual Report 2021-22 – Scottish Borders Council	<b>With regards to Follow-up of Prior Year Recommendations Action 7 Best Value Action Plan, the Chair would recommend to Council that Audit Committee would continue to scrutinise progress toward full completion of actions.</b>	People, Performance & Change	Jason McDonald/Maggie Cripps	Further update at 13 March 2023 Audit Committee meeting.